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Date: March 14, 2008

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To: PCT Legal Office Attn: Examiner Bryan Lin		Fax: 1-571-273-04	59 Use this fax
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Message: ATTACHED ARE THE INTERMED REQUEST FOR STATE OF ACTION FOR UTILITY OF ACTION UNDER 37 CFR. A. STAMPED RETURN POSTCARD S. EXPRESS MAIL LABEL 6. DECISION ON PETITION	TRUE AND ACCURATE CO TUS UNDER 37 CFR 1.42 R DESIGN PATENT APPLIC 1.42	PY OF:	

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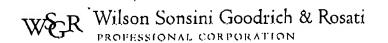
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Ref: 30915-701.831

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March 14, 2008

VIA FACSIMILE: 571-273-0459

Bryan Lin
PCT Legal Examiner
PCT Legal Office
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Re: U.

U.S. Patent Application Entitled

Nutraceutical for the prevention and treatment of cancers and diseases

affecting the liver

Application No.: 10/560,558
Filing Date: December 12, 2005

Inventors: Cau V. Bui and Cuong Q. Bui

Our File No. 30915-701.831

### Dear Examiner Lin:

A Renewed Request for Status under 37 CFR 1.42 was Express Mailed to the U.S. Patent Office on August 27, 2007, well within the two month deadline for response to the Decision on Petition mailed July 19, 2007. According to a telephone conversation today with the PCT Help Desk, it did not make it to the file. Attached is a true and complete copy of the Renewed Request for Status under 37 CFR 1.42, including a Declaration for Utility or Design Patent Application under 37 CFR 1.63, a Declaration Under 37 CFR 1.42, a copy of the stamped return postcard, and a copy of the Express Mail Label. We ask that the Renewed Request for Status under 37 CFR 1.42 be granted so that this application can move forward.

Very truly yours,

WILSON SONSINI GOODRICH & ROSATI

Professional Corporation

Pcter R. Munson Reg. No. 43,821

PRM/al Enclosure

4188657\_1.DOC

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Title: Nutraccutical for the prevention and treatment of cancers at					
Inventors/Applicant: BUI et al.	IAP6 Rec'd PCT/PTO 27 AUG 2007				
Application Serial No: 10/560,358	Filing Date: 6/10/03				
Type of Application: D Provisional Original	CIP Continuation Divisional PCT				
☐ Transmittal of Patent Application ☐ Specification and Abstractpages	☐ Fee Transmittal ☐ Extension of Time fee \$ ☐ Filing Fee \$ ☐ RCE fee \$				
☐ Claim pages ☐ Drawings pages	☐ Claims in excess \$ ☐ Issue fee/ndv order \$ ☐ Independ. claims in excess \$ ☐ Other fee () \$				
Missing Parts of Application Transmittal     ☑ Declaration/Oath	☐ Multiple Dep. Claims \$ ☐ Surcharge : \$				
☐ Assignment/Form PTO-1595  ☐ PCT International Applin Request Form	Request for Corrected Filing Receipt   Chapter II Demand/Fee Sheet   Response-Invitation to Correct   Article 19 Amendment   Article 19 Amendment   Article 34 Amendment   Response to Written Opinion   RCE Transmittal   Issue Fee   Formal Drawings				
Express Mail Certificate No: EV 517731215US     First Class Mail     Authorization to Charge Deposit Account No. 23-2415	Other:  Renewed Request for Status Under 37 CFR 1.42 with Declaration of Legal Representative				
Attorney Docket No. 30915-701.831 Attorney: Vicki G. Norton, Reg. No. 40,745	Date Mailed: August 37, 2007				

Please Stamp and Return this Postcard to Confirm Receipt of the Above

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of BUI et al. U.S. Application No. 10/560,558

PCT/US2004/018380

Int. Filing Date: 10 June 2004

Priority Date Claimed: 13 June 2003

For: Nutraccutical for the prevention and treatment

of cancers and diseases affecting the liver

Express Mail Label Number EV517731215US

Date of Deposit: Organist 21, 2007

I hereby certify that the following attached documents: Renewed Request for Status under 37 CFR 1.42, Declaration for Utility or Design Patent Application under 37 CFR 1.63, and Declaration under 37 CFR 1.42, are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" under 37 CFR 1.10 on the date indicated above in an envelope addressed to Mail Stop PCT, Commissioner for Patents, Office of Legal Administration, PO BOX 1450, Alexandria VA 22313-1450.

Mail Stop PCT Commissioner for Patents Office of Legal Administration PO Box 1450 Alexandria VA 22313-1450

# Renewed Request for Status under 37 CFR 1.42

In response to the Decision mailed 19 July 2007, Applicant submits a Declaration for Utility or Design Patent Application under 37 CFR 1.63 with an attached Declaration Under 37 CFR 1.42 signed by the legal representative of the deceased inventor for the above-identified patent application.

The Commissioner is hereby authorized to charge any additional fees or credit any overpayment associated with this communication to Deposit Account No. 23-2415 (Attorney Docket No. 30915-701.831).

Respectfully submitted, WILSON SONSINI GOODRICH & ROSATI

Vicki G. Norton Reg. No. 40,745

Dated: August 2,200

650 Page Mill Road Palo Alto, CA 94304-1050 (650) 493-9300 Customer No. 021971

PTO/SB/01 (10-00)

Approved for use through 07/31/2008. DAMS 0061-0032
U.S. Potent and Trademark Officer: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1993, no persons are required to respond to a epilection of information unless it contains a valid OMB control number. DECLARATION FOR UTILITY OR Attorney Docket Number 30915-701,831 DESIGN . Can V. BUI First Named Inventor COMPLETE IF KNOWN PATENT APPLICATION (37 CFR 1.63) Application Number 10/560,558 Declaration Declaration Filing Date 12/12/05 Submitted after Initial Submitted Filing (surcharge (37 CFR 1.16(e) With Initial Art Unit 1654 Filina Examiner Name rednjied) Not Yet Assigned I hereby declare that: Each inventor's residence, mailing address, and citizonship are as stated below next to their name, I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled: Nutracontical for the prevention and treatment of cancers and diseases affecting the liver (Title of the Invention) the specification of which Ω in attached hereto was filed on (MM/DD/YYYY) [12/12/2005] as United States Application Number 10/580,558 or PCT International  $\boxtimes$ Application Number PCT/US04/18380; and was amended on (MM/DD/YYY) 12/15/2005 (if applicable). I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above. I admowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also Identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Mot Claimed	Cortified Copy Attached? Yes No	
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Additional foreign application	HINDOOR SEATING	hd en a grandon a del			Ġ

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#### - Utility or Design Patent Application $\boxtimes$ Address ☐ Correspondence address below Direct all 021971 associated with correspondence to: Customer Number Name Address Address State City Fax **É**mail Telephone Country @wsgr.com I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon. A petition has been filed for this unsigned inventor NAME OF SOLE OR FIRST INVENTOR: Family Name Given Name or Sumame (first and middle [if any]) BUI Can V. Date Inventor's Signature Doclaration of Legal Representative attached Citizenship Country State Residence: City US US Harvey Mailing Address 2072 Spanish Oaks Drive ZP Country State City 70058 US LA Harvey NAME OF SECOND INVENTOR: A pelition has been filed for this unsigned inventor Family Name Given Name or Sumame (first and middle [if any]) Date Inventor's Signature State Citizenship Country Recidence: City Mailing Address ZIP Country City State supplemental shoot(s) PTO/SB/02A or 02LR sitteched horato; Additional inventors or legal representative are being manned on the

Page 2 of 21

# Declaration of Legal Representative on Behalf of Deceased Inventor (37 CFR 1.42)

I, Cuong Q. Bui, son of the deceased, hereby declare that I am a citizen of the United States of America, residing at 2072 Spanish Onks Drive, Harvey, Louisiana 70058, and that I am executing and signing the declaration to which this is attached as legal representative of Can V. Bui, a citizen of the United States of America.

That, upon information and belief, I aver those facts that the inventor is required to state.

8/24/07

Signature of legal representative



AND TRADEMARK OFFICE ELEZZ AV , AIRONAKELA

1 9 JUL 2007

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JUL 2 3 2007

WILSON SONSINI GOODRICH & ROSATI

In re Application of BUI et al U.S. Application No.: 10/560,558

PCT Application No.: PCT/US2004/018380

Int. Filing Date: 10 June 2004

Priority Date Claimed: 13 June 2003 Attorney Docket No.: 30915-701.831 For:

NUTRACEUTICAL FOR THE

PREVENTION AND TREATMENT OF CANCERS AND DISEASES AFFECTING

THE LIVER

DECISION

This is in response to the papers filed 27 December 2006, which is being treated as a request for status under 37 CFR 1.42.

# **BACKGROUND**

On 10 June 2004, applicant filed international application PCT/US2004/018380, which claimed priority of an earlier United States application filed 13 June 2003. The thirty-month period for paying the basic national fee in the United States expired on 13 December 2005.

On 12 December 2005, applicant filed national stage papers in the United States Designated/Elected Office (DO/EO/US). The submission was accompanied by, inter alia, the basic national fee required by 35 U.S.C. 371(e)(1).

On 22 November 2006, the DO/EO/US mailed a Notification of Missing Requirements Under 35 U.S.C. 371 (Form PCT/DO/EO/905), which indicated that an oath or declaration in compliance with 37 CFR 1.497 must be filed.

On 27 December 2006, applicant filed the present request for status under 37 CFR 1.42 along with an executed declaration.

## **DISCUSSION**

37 CFR 1.42 provides, "In case of the death of the inventor, the legal representative (executor, administrator, etc.) of the deceased inventor may make the necessary oath or declaration, and apply for and obtain the patent."

Effective 07 November 2000, 37 CFR 1.497(b)(2) specifies that, where a person making the declaration is the legal representative of a deceased inventor, the declaration shall state the following: (1) the relationship of the person to the inventor, (2) the facts the inventor would have been required to state, upon information and belief, (3) that the person is the legal representative of the deceased inventor, and (4) the citizenship, residence, and mailing address of the legal representative.

The declaration filed 27 December 2006 is not executed by the sole heir or legal representative on behalf of the deceased inventor.

# **CONCLUSION**

For the reasons above, the request for status under 37 CFR 1.42 is <u>DISMISSED</u> without prejudice.

If reconsideration on the merits of the request is desired, a proper response must be filed within TWO (2) MONTHS from the mail date of this decision. Failure to timely file a proper response will result in ABANDONMENT of the application. Extensions of time are available under 37 CFR 1.136(a). Any reconsideration request should include a cover letter entitled "Renewed Request for Status Under 37 CFR 1.42".

Please direct further correspondence with respect to this matter to Mail Stop PCT, Commissioner for Patents, Office of PCT Legal Administration, P.O. Box 1450, Alexandria, Virginia 22313-1450, with the contents of the letter marked to the attention of the Office of PCT Legal Administration.

Bryan Lin
PCT Legal Examiner
PCT Legal Office

Telephone: 571-272-3303 Facsimile: 571-273-0459